# Sonobuoy-Based Acoustic Characterization of Shallow-Water Environments

George V. Frisk
Department of Ocean and Mechanical Engineering
Florida Atlantic University
SeaTech Campus
101 North Beach Road
Dania Beach, FL 33004

Phone: (954) 924-7245 Fax: (954) 924-7270 email: gfrisk@fau.edu

Award Number: N000141210083

#### LONG-TERM GOALS

The long-term goal of this research is to increase our understanding of shallow water acoustic propagation and its relationship to the three-dimensionally varying seabed and water column environments.

### **OBJECTIVES**

The scientific objectives of this research are: (1) to develop high-resolution methods for characterizing the spatial and temporal behavior of the normal mode field in shallow water; (2) to use this characterization as input data to inversion techniques for inferring the acoustic properties of the shallow-water waveguide (both the seabed and the water column); and (3) to use this characterization to improve our ability to localize and track sources.

# **APPROACH**

An experimental technique has been developed for mapping the wavenumber spectrum of the normal mode field as a function of position in a complex, shallow-water waveguide environment whose acoustic properties vary in three spatial dimensions [1]. By describing the spatially varying spectral content of the modal field, the method provides a direct measure of the propagation characteristics of the waveguide. The resulting modal maps can also be used as input data to inverse techniques for obtaining the laterally varying, acoustic properties of the waveguide [2-7]. The experimental configuration consists of a moored, drifting, or towed source, with GPS navigation, transmitting signals to a field of several freely drifting buoys, each containing a hydrophone, GPS navigation, and radio telemetry, as shown in Fig. 1. A key component of this method is the establishment of a local differential GPS system between the ship and each buoy, thereby enabling the determination of the positions of the buoys relative to the ship with submeter accuracy [8,9]. In this manner, the drifting buoys create 2-D synthetic aperture, horizontal arrays along which the modal evolution of the waveguide can be observed in the spatial domain, or after Hankel transforming, in the horizontal wavenumber domain. In this context, two-dimensional modal maps in range and azimuth, as well as three-dimensional bottom inversion in range, depth, and azimuth, become achievable goals. In

maintaining the data needed, and c including suggestions for reducing	lection of information is estimated to ompleting and reviewing the collect this burden, to Washington Headqu uld be aware that notwithstanding ar DMB control number.	ion of information. Send comments arters Services, Directorate for Information	regarding this burden estimate mation Operations and Reports	or any other aspect of the s, 1215 Jefferson Davis	nis collection of information, Highway, Suite 1204, Arlington
1. REPORT DATE <b>2012</b>		2. REPORT TYPE <b>N/A</b>		3. DATES COVERED -	
4. TITLE AND SUBTITLE  Sonobuoy-Based Acoustic Characterization of Shallow-Water  Environments				5a. CONTRACT NUMBER	
				5b. GRANT NUMBER	
				5c. PROGRAM ELEMENT NUMBER	
6. AUTHOR(S)				5d. PROJECT NUMBER	
				5e. TASK NUMBER	
				5f. WORK UNIT NUMBER	
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES)  Department of Ocean and Mechanical Engineering Florida Atlantic University SeaTech Campus 101 North Beach Road Dania Beach, FL 33004				8. PERFORMING ORGANIZATION REPORT NUMBER	
9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES)				10. SPONSOR/MONITOR'S ACRONYM(S)	
				11. SPONSOR/MONITOR'S REPORT NUMBER(S)	
12. DISTRIBUTION/AVAILABILITY STATEMENT Approved for public release, distribution unlimited					
13. SUPPLEMENTARY NO  The original docum	otes nent contains color i	mages.			
14. ABSTRACT					
15. SUBJECT TERMS					
16. SECURITY CLASSIFIC	17. LIMITATION OF	18. NUMBER	19a. NAME OF		
a. REPORT unclassified	b. ABSTRACT <b>unclassified</b>	c. THIS PAGE unclassified	ABSTRACT SAR	OF PAGES  9	RESPONSIBLE PERSON

**Report Documentation Page** 

Form Approved OMB No. 0704-0188 addition, a broadband variant of this method, in which impulsive signals are transmitted between a fixed source and receiver, has also been developed [10]. While focusing on inversion for the geoacoustic properties of the seabed, this method has also been applied to the problem of inverting for water column properties [11]. Finally, these high-resolution measurements provide new insights into source localization and tracking techniques [12].

#### WORK COMPLETED

To date, five successful Modal Mapping Experiments have demonstrated the effectiveness of the modal mapping technique in acoustically characterizing shallow-water waveguide environments and in tracking low-frequency sources. Furthermore, MOMAX V, conducted on 5-18 March 2011 aboard the R/V Sharp, demonstrated that the method could be successfully executed using COTS, GPS-capable AN/SSQ-53F sonobuoys, instead of the MOMAX research buoys. Specifically, narrowband and broadband signals were transmitted in the band 50-1000 Hz using a drifting and towed NUWC J15 source at 56 m depth and a drifting and towed NUWC G34 source at 8 m depth. Data were received on 4 drifting MOMAX buoys, each having hydrophones at 61 m and 64 m depths as well as several 53F sonobuoys with a hydrophone at 61 m depth. In order to assess the practicality of using sonobuoy data for geoacoustic inversion applications, the two types of buoys were deployed in a co-located configuration on several occasions by connecting the upper portions of the buoys with a 4.6 m cable. This arrangement ensured that the two types of buoys were acquiring data under the same environmental (specifically, seabed) conditions. The bathymetry for the experimental area, as well as the ship and buoy tracks for the co-located buoy deployments are shown in Fig. 2.

# **RESULTS**

An example of data acquired in a co-located configuration is shown in Fig. 3, which shows the pressure field magnitude vs. range measured at 50, 75, 125, and 175 Hz on a sonobuoy (SB810) and a MOMAX buoy (Shemp). Figure 4 shows the corresponding modal spectra obtained by Hankel transforming the pressure field data (magnitude and phase) into the horizontal wavenumber domain to obtain estimates of the depth-dependent Green's function for the waveguide. Although the pressure fields measured by SB810 are noisier than those measured by Shemp, the Green's functions from both types of buoys have spectral peaks at wavenumbers that are close to one another. There are some differences in modal amplitudes which are probably due to differences in actual hydrophone depths between SB810 and Shemp. Using the wavenumber spectra, the eigenvalues for the propagating modes were estimated and show excellent agreement between the two buoys (cf. Fig. 5). For each type of buoy, the eigenvalues at all four frequencies were then used as input data to a multi-frequency, perturbative inversion algorithm to obtain the bottom models shown in Fig. 6. The two seabed profiles are in excellent agreement with one another and are consistent with the results of previous experiments conducted in the same general area. Note that, based on other measurements, the density was assumed to be 1.6 g/cc, and attenuation and shear effects were not included in these inversions.

# **IMPACT/APPLICATIONS**

This work shows that an experimental configuration consisting of a moving source and freely drifting receivers, all with precision GPS navigation, can provide an effective way to characterize the modal characteristics of a shallow-water waveguide and its geoacoustic properties. Furthermore, the results show that this technique can be implemented using COTS sonobuoy receivers and potentially can be applied during routine operations conducted by NAVAIR. In addition, the creation of synthetic

aperture, horizontal receiving arrays, which constitute the cornerstone of this method, may offer an effective new technique for localizing and tracking sources of unknown, quasi-stable frequency in shallow water.

#### **TRANSITIONS**

This work represents a major step toward transitioning the experimental method to NAVAIR (POC: David Seevers) and the modal inversion technique to NAVOCEANO (POC: David Harvey), thereby providing the capability for NAVOCEANO to upgrade/populate shallow-water LFBL databases in existing/new operational areas. The implementation of the MOMAX methodology and geoacoustic inversion technique in a NAVAIR operational scenario could occur in conjunction with the following schedule [13]:

The Navy plans to incorporate GPS into the ADAR sonobuoy receiver in the fourth quarter of FY14, with Fleet availability in the third quarter of FY16, and to incorporate GPS into the coherent 950 Hz, MAC (SSQ-125) sonobuoy source in the fourth quarter of FY14, with Fleet availability after FY16.

# RELATED PROJECTS

These efforts are being closely coordinated with the planning and design of an ONR-sponsored seabed characterization experiment to be conducted in the FY 14-15 timeframe. Specifically, the design of a possible future experiment using a large number of sonobuoys (e.g., 15-20), with the goal of inferring the 3-D geoacoustic properties of the waveguide, has been initiated. Plans for the sonobuoy experiment are being coordinated with Altan Turgut at the Naval Research Laboratory.

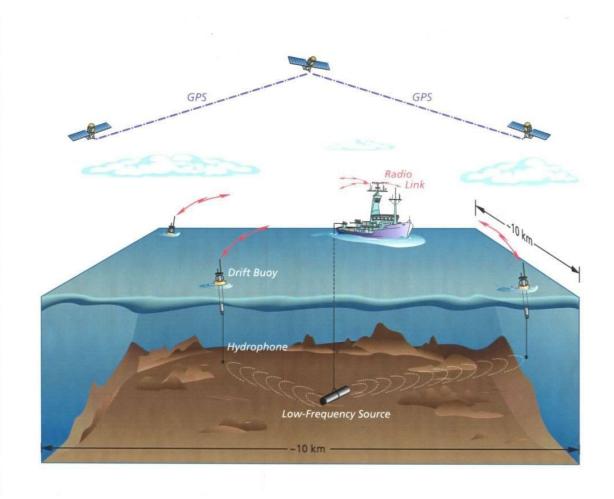


Figure 1: MOMAX experimental configuration.

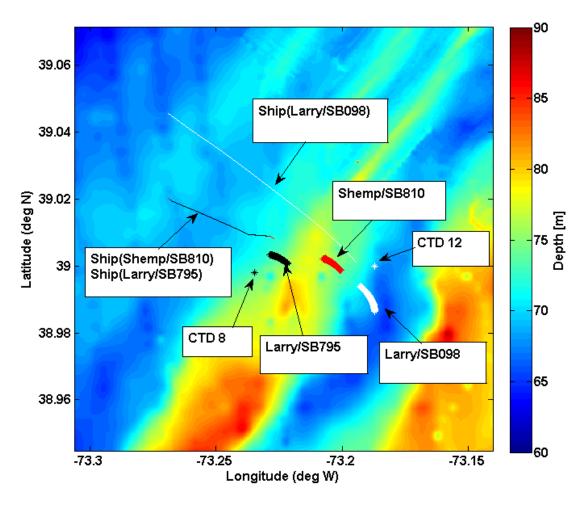


Figure 2: Bathymetry of the experimental area showing ship and buoy tracks for the co-located buoy deployments in MOMAX V.

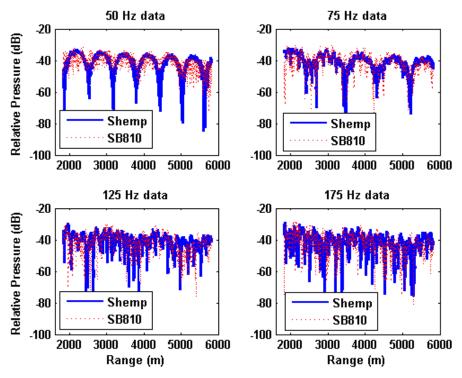


Figure 3: The pressure vs. range data at the four frequencies as measured by Shemp and SB810.

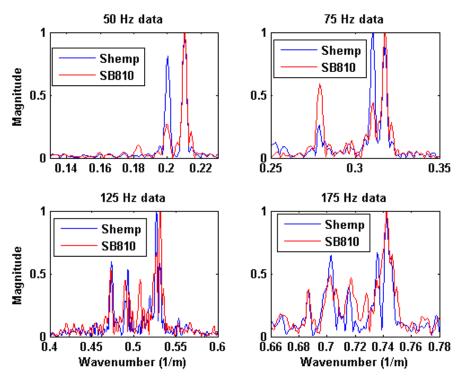


Figure 4: Green's functions for the pressure fields measured by Shemp and SB810 for the four frequencies.

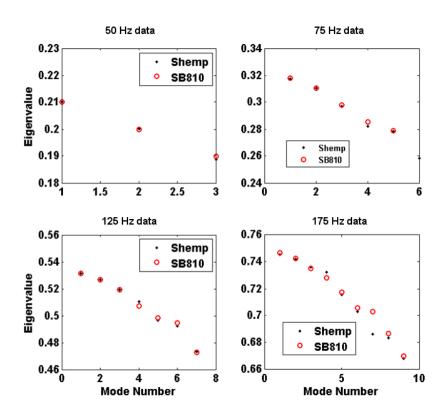


Figure 5: Eigenvalues estimated from the wavenumber spectra and used as input data in the inversion scheme: Shemp/SB810 deployment.

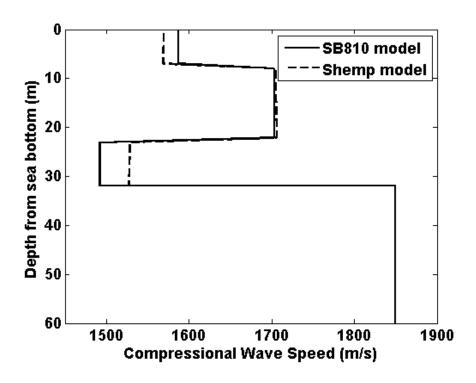


Figure 6: Bottom models estimated from data collected by Shemp and SB810.

# **REFERENCES**

- [1] G.V. Frisk, K.M. Becker, and J.A. Doutt, "Modal Mapping in Shallow Water Using Synthetic Aperture Horizontal Arrays," *invited paper* in *Proceedings of the Oceans 2000 MTS/IEEE Conference and Exhibition*, Providence, RI, Vol. I, pp. 185-188 (11-14 September 2000).
- [2] K. Ohta and G.V. Frisk, "Modal Evolution and Inversion for Seabed Geoacoustic Properties in Weakly Range-Dependent, Shallow-Water Waveguides," *IEEE J. Oceanic Engineering Special Issue on Shallow-Water Acoustics II*, **22**, 501-521 (1997).
- [3] G.V. Frisk and K.M. Becker, "Modal Evolution and Inversion in Laterally Varying, Shallow-Water Waveguides," in *Proceedings of the International Conference on Acoustics, Noise and Vibration*, Montreal, Quebec, Canada, 5 pages (8-12 August 2000).
- [4] K.M. Becker, S.D. Rajan, and G.V. Frisk, "Results From the Geoacoustic Inversion Techniques Workshop Using Modal Inverse Methods," invited paper, *IEEE J. Oceanic Engineering Special Issue on Geoacoustic Inversion in Range-Dependent Shallow-Water Environments*, **28**, 331-341 (2003).
- [5] K. Ohta, K. Okabe, I. Morishita, S. Ozaki, and G.V. Frisk, "Inversion for Seabed Geoacoustic Properties in Shallow Water Experiments," *Acoustical Science and Technology (published by Acoustical Society of Japan)* **26**, 326-337 (1 July 2005).
- [6] K.M. Becker and G.V. Frisk, "Evaluation of an Autoregressive Spectral Estimator for Modal Wavenumber Estimation in Range-Dependent Shallow-Water Waveguides," *J. Acoust. Soc. Am.* **120**, 1423-1434 (2006).
- [7] K. Ohta, K. Okabe, I. Morishita, G.V. Frisk, and A. Turgut, "Modal Inversion Analysis for Geoacoustic Properties of the New Jersey Continental Shelf in the SWAT Experiments," *IEEE J. Oceanic Engineering* **34**, 526-538 (2009).
- [8] J.A. Doutt, G.V. Frisk, and H. Martell, "Determination of Distance Between a Moving Ship and Drifting Buoys to Centimeter-Level Accuracy at Sea Using L1 Phase Receivers and Differential Moving-Base Kinematic Processing," in *Proceedings of the Institute of Navigation GPS-98 Conference*, Nashville, Tennessee, 6 pages (15-18 September 1998).
- [9] J.A. Doutt, G.V. Frisk, and H. Martell, "Using GPS at Sea to Determine the Range Between a Moving Ship and a Drifting Buoy to Centimeter-Level Accuracy," in *Proceedings of the Oceans* '98 Conference, Nice, France, 4 pages (28 September 1 October 1998).
- [10] S.D. Rajan, G.V. Frisk, K.M. Becker, J.F. Lynch, G. Potty, and J.H. Miller, "Modal Inverse Techniques for Inferring Geoacoustic Properties in Shallow Water," Chap. 7, pp. 165-234, in A. Tolstoy (Ed.), *Important Elements in: Geoacoustic Inversion, Signal Processing, and Reverberation in Underwater Acoustics 2008* (Research Signpost, Kerala, India, 2008).
- [11] M.S. Ballard and K.M. Becker, "Inversion for range-dependent water column sound speed profiles on the New Jersey shelf using a linearized perturbative method," *J. Acoust. Soc. Am.* **127**, 3411-3421 (2010).

- [12] G.V. Frisk, "The Relationship Between Low-Frequency Phase Rate and Source-Receiver Motion in Shallow Water: Theory and Experiment," *invited paper* in *Proceedings of the 17<sup>th</sup> International Congress on Acoustics*, Rome, Italy, 2 pages (2-7 September 2001).
- [13] D. C. Seevers, NAVAIR, Patuxent River, MD, private communication (September 2012).

# **PUBLICATIONS**

- I. Boyd, G. Frisk, D. Farmer, E. Urban, and S. Seeyave, "Draft Science Plan: International Quiet Ocean Experiment," 113 pages (20 February 2012).
- G.V. Frisk, "Noiseonomics: The relationship between ambient noise levels in the sea and global economic trends," *Scientific Reports* **2**: 437,doi:10.1038/srep00437 (1 June 2012) [published, refereed].
- G.V. Frisk, K.M. Becker, S.D. Rajan, C.J. Sellers, K. von der Heydt, C. Smith, and M. Ballard, "Implementation of the Modal Mapping Experiment Using Sonobuoys," Rapid Transition Project (RTP) report submitted to Applied Research Laboratory/Pennsylvania State University, 59 pages (16 September 2012) [published].

# **HONORS/AWARDS/PRIZES**

- G.V. Frisk, Past President of the Acoustical Society of America.
- G.V. Frisk, Co-Chair, Research Initiative for an International Quiet Ocean Experiment.
- G.V. Frisk, Chair, International Organization for Standardization/Technical Committee 43/ Subcommittee 3 (ISO/TC 43/SC 3) on Underwater Acoustics.